SECURITIES EQUITY GROUP - Held NMS Stocks and Options Order Routing Public Report Generated on Tue Oct 10 2023 09:18:13 GMT-4 EDT

3rd Quarter, 2023

July 2023

S&P 500 Stocks Summary

							Non-Marketab	le		
	Non-Directed	N	Market Orders as %		Marketable Limit		Limit Orders as	% of	Other Orders as	% د
Total Non-Directed	Orders as % of All	c	of Non-Directed	Total Marketable	Orders as % of No	n-	Non-Directed		of Non-Directed	1
Orders	Orders	Total Market Orders O	Orders	Limit Orders	Directed Orders	Total Non-Marketable Limit Orders	Orders	Total Other Orders	Orders	
404	100.0	404	100.00	(0.	00	0	0.00	0	0.00

directed Order Flow O	Ion-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orde	Marketable Limi ers(%) Orders	t Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketab Limit Orders(%	le) Other Orders	Other Orders(%	Net Payment Paid/Received for Market) Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)		Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred share	Net Payment Paid/Received for s) Other Orders(USD)	Net Payment Paid/Received fo Other Orders(cei per hundred shai	nts
Citadel Securities													_	_	_				_
LLC(CDRG) VIRTU Americas,		164	40.49	164	40.59	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
LLC(NITE) G1 Execution		106	26.17	106	26.24	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Services,																			
LLC(ETMM)		63	15.56	63	15.59	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Jane Street Capital, LLC(JNST)		59	14.57	59	14.60	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Two Sigma Securities,																			
LLC(SOHO)		8	1.98	8	1.98	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS) Instinet		4	0.99	4	0.99	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
BlockCross(BLKX)		1	0.25	0	0.00	0	0.00	0	0.00	1 10	00.00	0	0	0	0	0	0	0	0

July 2023

Non-S&P 500 Stocks Summary

							Non-Marketa	ible		
	Non-Directed	Ma	arket Orders as %		Marketable Limi	t	Limit Orders	as % of	Other Ord	ders as %
Total Non-Directed	Orders as % of All	of	Non-Directed	Total Marketable	Orders as % of N	on-	Non-Directed		of Non-Di	irected
Orders	Orders	Total Market Orders On	ders	Limit Orders	Directed Orders	Total Non-Marketable Limit Orders	Orders	Total Othe	er Orders Orders	
879	100.00	879	100.00	0)	0.00	0	0.00	0	0.00

Venue - Non- directed Order Fl	Non-Directed	Non-Directed Orders(%)	Market Orders	Market Orde	Marketable Limit	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketal Limit Orders(9		Other Orders(Net Payment Paid/Received for Market %) Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)		Limit Orders(cents	Net Payment Paid/Received for Other Orders(USD)		ts
Citadel Securities	5																		
LLC(CDRG)		347	38.95	347	39.48	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
VIRTU Americas,																			
LLC(NITE)		228	25.59	228	25.94	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
G1 Execution																			
Services,																			
LLC(ETMM)		148	16.61	148	16.84	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Jane Street Capit	al,																		
LLC(JNST)		134	15.04	134	15.24	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Two Sigma																			
Securities,																			
LLC(SOHO)		18	2.02	18	2.05	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Instinet																			
BlockCross(BLKX))	12	1.35	0	0.00	0	0.00	0	0.00	12 1	100.00	0	0	0	0	0	0	0	0
UBS Securities,																			
LLC(UBSS)		4	0.45	4	0.46	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0

July 2023

Option Contracts

							Non-Marketab	le		
	Non-Directed		Market Orders as %		Marketable Lim	ít	Limit Orders as	% of	Other Orders as %	6
Total Non-Directed	Orders as % of All		of Non-Directed	Total Marketable	Orders as % of f	Non-	Non-Directed		of Non-Directed	
Orders	Orders	Total Market Order	orders .	Limit Orders	Directed Orders	Total Non-Marketable Limit Orders	Orders	Total Other Orders	Orders	
(0.0	10 (0.00		0	0.00	0	0.00	0	.00

											Net Payment		Net Payment		Net Payment		
										Net Payment	Paid/Received for	Net Payment	Paid/Received for	Net Payment	Paid/Received for		Net Payment
								Paid/Received for	Market	Paid/Received for	Marketable Limit	Paid/Received for	Non-Marketable	Net Payment	Paid/Received for		
	Venue - Non- Non-Directed Non-Directed Marketable Limit			Non-Marketable	Non-Marketable			Market	Orders(cents per	Marketable Limit	Orders(cents per	Non-Marketable	Limit Orders(cents	Paid/Received for	Other Orders(cents		
directed Order Flow Orders Orders(%) Market Orders Market Orders(%) Orders Marketable Limit Orders(%)			Limit Orders	Limit Orders(%)	Other Orders	Other Orders(%)	Orders(USD)	hundred shares)	Orders(USD)	hundred shares)	Limit Orders(USD)	per hundred shares)	Other Orders(USD)	per hundred shares)			

August 2023

S&P 500 Stocks

Summary														
Total Non-Directed		of All		Market Orders of Non-Directer	d To	tal Marketable	Ori	arketable Limit ders as % of Non			Non-Marke Limit Order Non-Directe	s as % of ed	Other Orders of Non-Direct	
Orders	Orders		Total Market Orders	Orders	Lin	nit Orders	Dir	rected Orders	Total Non-Mark	cetable Limit Orders	Orders	Total Other Orders	Orders	
	47	100.00	47	1	00.00		0	0.0	0		0	0.00	0	0.00
Venues														

venues																			
					Marketable Lin		Non-Marketable	Non-Marketa			Net Payment Paid/Received for		Paid/Received for		Paid/Received for		Net Payment	Net Payment Paid/Received for	
	Directed	Non-Directed									Market	Orders(cents per	Marketable Limit	Orders(cents per			Paid/Received for		
directed Order Flow Orders	rs	Orders(%)	Market Orders	Market Ord	ders(%) Orders	Marketable Limit Orders(%)	Limit Orders	Limit Orders(%) Other Orders	Other Orders(%	Orders(USD)	hundred shares)	Orders(USD)	hundred shares)	Limit Orders(USD)	per hundred share:	s) Other Orders(USD)	per hundred share	es)
VIRTU Americas,																			
LLC(NITE)	1	17	36.17	17	36.17	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
G1 Execution																			
Services,																			
LLC(ETMM)	1	11	23.40	11	23.40	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Jane Street Capital,																			
LLC(JNST)	3	10	21.28	10	21.28	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Citadel Securities																			
LLC(CDRG)		0	10.15	0	10.15	^	0.00	0	0.00		0.00	0	0	^	0	^	0	0	

August 2023

Non-S&P 500 Stocks Summary

							Non-Market	able		
	Non-Direct	ed	Market On	ders as %	Marketable Limit		Limit Orders	as % of	Other Orders as	%
Total Non-Direc	cted Orders as 9	6 of All	of Non-Dire	ected Total Marketable	Orders as % of No	n-	Non-Directer	i	of Non-Directed	1
Orders	Orders	Total Ma	arket Orders Orders	Limit Orders	Directed Orders	Total Non-Marketable Limit Orders	Orders	Total Other Order	s Orders	
	400	100.00	400	100.00	0 0	nn	0	0.00	0	0.00

venues																			
directed Order Flow Orde	n-Directed lers	Non-Directed Orders(%)	Market Orders	Market Orders	Marketable Limit	: Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketabl Limit Orders(%	e Other Orders	Other Orders(%	Net Payment Paid/Received for Market 6) Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Limit Orders(cents	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents) per hundred share:	s
Citadel Securities																			
LLC(CDRG)	15	5 3	38.56	155	38.75	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
VIRTU Americas,																			
LLC(NITE)	9	9 2	24.63	99	24.75	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Jane Street Capital,																			
LLC(JNST)	8-	4 2	20.90	84	21.00	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
G1 Execution																			
Services,																			
LLC(ETMM)	5-	4 :	13.43	54	13.50	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Two Sigma																			
Securities,																			
LLC(SOHO)		8	1.99	8	2.00	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Instinet																			
BlockCross(BLKX)		2	0.50	0	0.00	0	0.00	0	0.00	2 1	00.00	0	0	0	0	0	0	0	0

August 2023

Option Contracts Summary

							Non-Marketab	ile		
	Non-Directed		Market Orders as	%	Marketable Lim	it	Limit Orders as	s % of	Other Orders a	as %
Total Non-Directed	Orders as % of	All	of Non-Directed	Total Marketable	Orders as % of N	lon-	Non-Directed		of Non-Directe	ed
Orders	Orders	Total Market Order	s Orders	Limit Orders	Directed Orders	Total Non-Marketable Limit Orders	Orders	Total Other Orders	Orders	
	0	0.00	0.	.00	0	0.00	0	0.00	0	0.00

Venues

													Net Payment		Net Payment		Net Payment			
												Net Payment	Paid/Received for	Net Payment	Paid/Received for	Net Payment	Paid/Received for		Net Payment	
												Paid/Received for	Market	Paid/Received for	Marketable Limit	Paid/Received for	Non-Marketable	Net Payment	Paid/Received for	
Venue - Non- Non-Directed Non-Directed Marketable Limit			Non-Marketable	Non-Marketable			Market	Orders(cents per	Marketable Limit	Orders(cents per	Non-Marketable	Limit Orders(cents	Paid/Received for	Other Orders(cents						
	directed Order Flo	w Orders	Orders(%)	Market Orders	Market Orders(%)	Orders	Marketable Limit Orders(%)	Limit Orders	Limit Orders(%)	Other Orders	Other Orders(%)	Orders(USD)	hundred shares)	Orders(USD)	hundred shares)	Limit Orders(USD)	per hundred shares)	Other Orders(USD)	per hundred shares)	

September 2023

S&P 500 Stocks Summary

						Non-Marketable					
	Non-Direct	ed	Market Orders as	%	Marketable Limit		Limit Orders as	Other Orders as %			
Total Non-Direct	ed Orders as %	of All	of Non-Directed	Total Marketable	Orders as % of No	on-	Non-Directed		of Non-Directed	d	
Orders	Orders Orders Total Market Order		rs Orders Limit Orders		Directed Orders	Total Non-Marketable Limit Orders	Orders	Total Other Orders	Orders		
	50	100.00	9 100	nn	0 0	00	n	0.00	n	0.00	

enues

Venue - Non- Non-Directed Non-Directed					Marketable Limi					Market	Paid/Received for Market Paid/Received for Market Orders(cents per Marketable Limit									
	directed Order Flow Orde	lers	Orders(%)	Market Orders	Market Orde	ers(%) Orders	Marketable Limit Orders(%)	Limit Orders	Limit Orders(%	Other Orders	Other Orders(%)	Orders(USD)	hundred shares)	Orders(USD)	hundred shares)	Limit Orders(USD)	per hundred shares) Other Orders(USD)	per hundred share	es)
	VIRTU Americas,																			
	LLC(NITE)	2	23	38.98	23	38.98	0	0.00	0	0.00	0 0	.00	0	0	0	0	0	0	0	0
	Citadel Securities																			
	LLC(CDRG)	2	22	37.29	22	37.29	0	0.00	0	0.00	0 0	.00	0	0	0	0	0	0	0	0
	Jane Street Capital,																			
	LLC(JNST)		9	15.25	9	15.25	0	0.00	0	0.00	0 0	.00	0	0	0	0	0	0	0	0

G1 Execution	
Condess	

Services, LLC(ETMM) 5 8.47 5 8.47 0.00 0.00 0.00 0 0 0 0

September 2023

Non-S&P 500 Stocks Summary

	Non-Directed	Marke	t Orders as %		Marketable Limit		Limit Orders a	s % of	Other O	rders as %
Total Non-Directed	Orders as % of All	of Nor	-Directed	Total Marketable	Orders as % of N	on-	Non-Directed		of Non-I	Directed
Orders	Orders	Total Market Orders Orders		Limit Orders	Directed Orders	Total Non-Marketable Limit Orders	Orders	Total O	ther Orders Orders	
346	100.00	346	100.00		0 (.00	0	0.00	0	0.00

Venue - Non- directed Order Flo	Non-Directed w Orders	Non-Directed Orders(%)	Market Orders	Market Orden	Marketable Lim	it Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketab Limit Orders(%		Other Orders(%	Net Payment Paid/Received for Market) Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred share	Net Payment	Net Payment Paid/Received for Other Orders(cen per hundred share	nts
Citadel Securities								_						_	_			_	
LLC(CDRG) VIRTU Americas,		156	44.07	156	45.09	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0 (ð	0
LLC(NITE)		145	40.96	145	41.91	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0 0	0	0
Jane Street Capital	l,																		
LLC(JNST)		25	7.06	25	7.23	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0 1	0	0
G1 Execution																			
Services, LLC(ETMM)		18	5.08	18	5.20	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0 (0	0
Instinet																			
BlockCross(BLKX)		8	2.26	0	0.00	0	0.00	0	0.00	8 10	10.00	0	0	0	0	0	0 /	0	0
Two Sigma																			
Securities,																			
LLC(SOHO)		1	0.28	1	0.29	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0 (ð	0
UBS Securities, LLC(UBSS)			0.28		0.29	0	0.00		0.00	0	0.00			0	0	0	^	0	
LLC(UBSS)		1	0.28	1	0.29	U	0.00	U	0.00	U	0.00	U	U	U	U	U	0 1	U	U

September 2023

Option Contracts Summary

							Non-Marketab	le		
	Non-Directed		Market Orders as	%	Marketable Limit		Limit Orders as	% of	Other Orders as %	
Total Non-Directed	n-Directed Orders as % of All			Total Marketable	Orders as % of Non	-	Non-Directed	of Non-Directed		
Orders	Orders	Total Market Orders	s Orders Limit Orders		Directed Orders	Total Non-Marketable Limit Orders	Orders	Total Other Orders	Orders	
	1	000	1 0	00	0 00	10	n	0.00	0 0	0.00

												Net Payment		Net Payment		Net Payment		
											Net Payment	Paid/Received for	Net Payment	Paid/Received for	Net Payment	Paid/Received for		Net Payment
											Paid/Received for	Market	Paid/Received for	Marketable Limit	Paid/Received for	Non-Marketable	Net Payment	Paid/Received for
Venue - Non-	Non-Directed	Non-Directed			Marketable Limit		Non-Marketable	Non-Marketable			Market	Orders(cents per	Marketable Limit	Orders(cents per	Non-Marketable	Limit Orders(cents	Paid/Received for	Other Orders(cents
directed Order Flow	Orders	Orders(%)	Market Orders	Market Orders(%)	Orders	Marketable Limit Orders(%)	Limit Orders	Limit Orders(%)	Other Orders	Other Orders(%)	Orders(USD)	hundred shares)	Orders(USD)	hundred shares)	Limit Orders(USD)	per hundred shares)	Other Orders(USD)	per hundred shares)