SECURITIES EQUITY GROUP - Held NMS Stocks and Options Order Routing Public Report Generated on Tue Apr 12 2022 01:54:27 GMT-4 EDT

1st Quarter, 2022

January 2022

S&P 500 Stocks Summary

								Non-Marketable			
	Non-Directed	Market	Orders as %		Marketable Lim	it		Limit Orders as % o	f	Other Orders a	ıs %
Total Non-Directed	Orders as % of All	of Non-	Directed '	Total Marketable	Orders as % of I	lon-		Non-Directed		of Non-Directe	d
Orders	Orders	Total Market Orders Orders		Limit Orders	Directed Orders	Total Non-Marketable Limit O	rders	Orders	Total Other Orders	Orders	
768	100.00	768	100.00		0	0.00		0.0	0	0	0.00

directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orde	Marketable Limi rs(%) Orders	t Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketal Limit Orders(9		Other Orders(%	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Limit Orders(cents		Net Payment Paid/Received fo Other Orders(cer per hundred shar	nts
Citadel Securities LLC(CDRG)		337	43.82	337	43.88	0	0.00	0	0.00		0.00	0	0	0	0	0			
VIRTU Americas,	-	337	43.82	337	43.88	0	0.00	U	0.00	U	0.00	U	U	U	U	U	0	U	0
LLC(NITE)	:	189	24.58	189	24.61	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Jane Street Capital,																			
LLC(JNST)		164	21.33	164	21.35	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)		51	6.63	51	6.64	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Two Sigma																			
Securities, LLC(SOHO) UBS Securities,		19	2.47	19	2.47	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
LLC(UBSS)		8	1.04	8	1.04	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
BlockCross(BLKX)		1	0.13	0	0.00	0	0.00	0	0.00	1 10	00.00	0	0	0	0	0	0	0	0

January 2022

Non-S&P 500 Stocks Summary

								Non-Marketa	oie .		
	Non-Directe	ed	Market 0	Orders as %	Marke	table Limit		Limit Orders a	s % of	Other Orders a	as %
Total Non-Direc	cted Orders as %	of All	of Non-D	irected Total Marketal	ole Order	s as % of Nor	1-	Non-Directed		of Non-Directe	ed be
Orders	Orders	Total Ma	rket Orders Orders	Limit Orders	Direct	ed Orders	Total Non-Marketable Limit Orders	Orders	Total Other Order	s Orders	
	2.898	100.00	2.898	100.00	0	0.0	00	0	0.00	0	0.00

Venue - Non- directed Orde Citadel Securit	r Flow Orders	Non-Directed Orders(%)	Market Orders	Market Order	Marketable Limit rs(%) Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketabl Limit Orders(%)		Other Orders(%	Net Payment Paid/Received for Market 6) Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Limit Orders(cents	Net Payment Paid/Received for s) Other Orders(USD)		ts
LLC(CDRG)	1	224	41.75	1,224	42.24	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
VIRTU Americ LLC(NITE) Jane Street Ca		814	27.76	814	28.09	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
LLC(JNST) G1 Execution Services,		595	20.29	595	20.53	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
LLC(ETMM) Two Sigma Securities,		182	6.21	182	6.28	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
LLC(SOHO) Instinet		50	1.71	50	1.73	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
BlockCross(BL UBS Securities		34	1.16	0	0.00	0	0.00	0	0.00	34 1	00.00	0	0	0	0	0	0	0	0
LLC(UBSS)		33	1.13	33	1.14	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0

January 2022 Option Contracts

Ju	iiiiiiai y										
								Non-Marketable			
		Non-Directed		Market Orders as %	6	Marketable Limit		Limit Orders as %	of	Other Orders as %	
To	tal Non-Directed	Orders as % of All		of Non-Directed	Total Marketable	Orders as % of Non-		Non-Directed		of Non-Directed	
Or	rders	Orders	Total Market Orders	Orders	Limit Orders	Directed Orders	Total Non-Marketable Limit Orders	Orders	Total Other Orders	Orders	

												Net Payment		Net Payment		Net Payment		
											Net Payment	Paid/Received for	Net Payment	Paid/Received for	Net Payment	Paid/Received for		Net Payment
											Paid/Received for	Market	Paid/Received for	Marketable Limit	Paid/Received for	Non-Marketable	Net Payment	Paid/Received for
Venue - Non-	Non-Directed	Non-Directed			Marketable Limit		Non-Marketable	Non-Marketable			Market	Orders(cents per	Marketable Limit	Orders(cents per	Non-Marketable	Limit Orders(cents	Paid/Received for	Other Orders(cents
directed Order Flow	Orders	Orders(%)	Market Orders	Market Orders(%)	Orders	Marketable Limit Orders(%)	Limit Orders	Limit Orders(%)	Other Orders	Other Orders(%)	Orders(USD)	hundred shares)	Orders(USD)	hundred shares)	Limit Orders(USD)	per hundred shares)	Other Orders(USD)	per hundred shares)

S&P 500 Stocks

Summary											
								Non-Market	table		
	Non-Dire	cted	Market O	rders as %	Mari	etable Limit		Limit Orders	s as % of	Other O	rders as %
Total Non-I	Directed Orders as	% of All	of Non-Di	rected Total Mark	etable Orde	rs as % of Non-		Non-Directe	ed	of Non-I	Directed
Orders	Orders	Total N	Market Orders Orders	Limit Orde	rs Direc	ted Orders	Total Non-Marketable Limit Orders	Orders	Total O	ther Orders Orders	
	1,559	100.00	1,559	100.00	0	0.0	0	0	0.00	0	0.00

Venues																			
Venue - Non- directed Order Flo	Non-Directed ow Orders	Non-Directed Orders(%)	Market Orders	Market Orders	Marketable Limit	t Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketal Limit Orders(%		Other Orders(9	Net Payment Paid/Received for Market 6) Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)		Net Payment Paid/Received for S) Other Orders(USD)		nts
Citadel Securities																			
LLC(CDRG)		675	43.24	675	43.30	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
VIRTU Americas,																			
LLC(NITE)		437	27.99	437	28.03	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Jane Street Capita																			
LLC(JNST)		314	20.12	314	20.14	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
G1 Execution																			
Services,																			
LLC(ETMM)		99	6.34	99	6.35	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)		20	1.28	20	1.28	0	0.00	0	0.00	0	0.00		0	^	0	^		0	
Two Sigma		20	1.20	20	1.20	U	0.00	U	0.00	U	0.00	U	U	U	U	U	U	U	U
Securities,																			
LLC(SOHO)		14	0.90	14	0.90	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Instinet		14	0.50	14	0.50	U	0.00	U	0.00	· ·	0.00	·	·	0	0	0	U	0	U
BlockCross(BLKX)		2	0.13	0	0.00	0	0.00	0	0.00	2 1	.00.00	0	0	0	0	0	0	0	0

February 2022

Non-S&P 500 Stocks Summary

							Non-Marketabl	e		
	Non-Directed		Market Orders as 9	6	Marketable Lim	it	Limit Orders as	% of	Other Orders as	s %
Total Non-Directe	ed Orders as % of A	I	of Non-Directed	Total Marketable	Orders as % of N	lon-	Non-Directed		of Non-Directed	d
Orders	Orders	Total Market Orde	rs Orders	Limit Orders	Directed Orders	Total Non-Marketable Limit Orders	Orders	Total Other Orders	Orders	
1.3	247 10	0.00 1.24	17 100.0	10	0	0.00	0	0.00	0	0.00

Net Payment
Net Payment
Paid/Received for
Paid/Received for
Market Net Payment
Net Payment
Paid/Received for
Paid/Received for
Marketable Limit
Paid/Received for Net Payment
Paid/Received for
Non-Marketable Net Payment Venue - Non- Non-Dire directed Order Flow Orders Citadel Securities Non-Marketable Limit Orders(cents Paid/Received for Other Orders(cents Limit Orders(%) Other Orders hundred shares) Limit Orders(USD) per hundred shares) Other Orders(USD) per hundred shares LLC(CDRG) 545 43.46 545 43.70 0.00 0.00 0.00 VIRTU Americas LLC(NITE) 27.11 Jane Street Capital, LLC(JNST) 19.89 0.00 0.00 0.00 G1 Execution Services, LLC(ETMM) 7.22 7.18 0.00 0.00 0 0.00 Two Sigma Securities, LLC(SOHO) 1.20 15 1.20 0.00 0.00 0.00 UBS Securities, LLC(UBSS) 0.72 0.72 0.00 0 0.00 0 0.00

0.00

100.00

0.00

BlockCross(BLKX) February 2022

0.56

Option Contracts Summary

0.00

	Non-Directed		Market Orders	ıs %	Marketable Lim	iit	Limit Orders as	% of	Other Orders as	%
Total Non-Directed	Orders as % of A	I	of Non-Directed	Total Marketable	Orders as % of I	Non-	Non-Directed		of Non-Directed	
Orders	Orders	Total Market Order	s Orders	Limit Orders	Directed Orders	Total Non-Marketable Limit Orders	Orders	Total Other Orders	Orders	
0		0.00	0	0.00	0	0.00	0	0.00	0	0.00

												Net Payment		Net Payment		Net Payment			
											Net Payment	Paid/Received for	Net Payment	Paid/Received for	Net Payment	Paid/Received for		Net Payment	
											Paid/Received for				Paid/Received for			Paid/Received for	
Venue - Non-	Non-Directed	Non-Directed			Marketable Limit		Non-Marketable	Non-Marketable			Market	Orders(cents per	Marketable Limit	Orders(cents per	Non-Marketable	Limit Orders(cents	Paid/Received for	Other Orders(cents	
discount Order fla		0-4(%)	Mandan Ondana	******* Ond ****(0/)	0-4	Manhatable Umit Ondani(M)	Umik Ondana	Limit Onders(0/)	044 0-4	Osh O-d(0/)	Onders(UCD)	household about 1	Onders(UCD)	book and about a	Limit Onders(UCD)		Ont Ond(UCD)		

March 2022 S&P 500 Stocks

Summary Non-Marketable
Limit Orders as % of Other Orders as %
of Non-Directed
Orders Total Other Orders Orders Non-Directed Market Orders as % Marketable Limit Total Non-Directed Orders as % of All Orders Orders II of Non-Directed Total Marketable Orders as % of NonTotal Market Orders Limit Orders Directed Orders Total Non-Marketable Limit Orders 100.00 100.00 0.00 215 0.00

Venues

Venue - Non- Non-Directed	Non-Directe			Marketable Lim		Non-Marketable				Net Payment Paid/Received for Market	Orders(cents per	Paid/Received for Marketable Limit	Orders(cents per	Paid/Received for Non-Marketable	Limit Orders(cents	Net Payment Paid/Received for		ts
directed Order Flow Orders	Orders(%)	Market Orders	Market Ord	ers(%) Orders	Marketable Limit Orders(%)	Limit Orders	Limit Orders(9	6) Other Orders	Other Orders(%	Orders(USD)	hundred shares)	Orders(USD)	hundred shares)	Limit Orders(USD)	per hundred share	s) Other Orders(USD)	per hundred share	:S)
Citadel Securities LLC(CDRG) VIRTU Americas,	83	38.60	83	38.60	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
LLC(NITE)	54	25.12	54	25.12	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Jane Street Capital,																		
LLC(JNST)	46	21.40	46	21.40	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM) Two Sigma	29	13.49	29	13.49	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Securities, LLC(SOHO)	3	1.40	3	1.40	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0

March 2022

Non-S&P 500 Stocks Summary

				Non-Marketable							
	Non-Directed			Market Orders as	%	Marketable Limit		Limit Orders as	Other Orders as %		
Total Non-Directed Orders as % of All			of Non-Directed	Total Marketable	Orders as % of No	on-	Non-Directed	of Non-Directed			
	Orders	Orders	Total Market Order	s Orders	Limit Orders	Directed Orders	Total Non-Marketable Limit Orders	Orders	Total Other Orders	Orders	
		£12	100.00 61	1 00	94	0 0	100	1	0.00	1	0.16

/enues

Venue - Non- directed Order Flo	Non-Directed w Orders	Non-Directed Orders(%)	Market Orders	Market Orde	Marketable Limit	t Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketa Limit Orders(S		Other Orders(%	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Limit Orders(cents	Net Payment		ts
Citadel Securities																			
LLC(CDRG)		240	38.28	240	39.34	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
VIRTU Americas,																			
LLC(NITE)		156	24.88	155	25.41	0	0.00	0	0.00	1	5.88	0	0	0	0	0	0	0	0
Jane Street Capital	l,																		
LLC(JNST)		143	22.81	143	23.44	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
G1 Execution																			
Services,																			
LLC(ETMM)		49	7.81	49	8.03	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Instinet																			
BlockCross(BLKX)		16	2.55	0	0.00	0	0.00	0	0.00	16 9	94.12	0	0	0	0	0	0	0	0
Two Sigma																			
Securities,																			
LLC(SOHO)		16	2.55	16	2.62	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
UBS Securities,																			
LLC(UBSS)		7	1.12	7	1.15	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0

March 2022

Option Contracts Summary

						NON-IVIAI KETADIE					
	Non-Directed			%	Marketable Lim	it	Limit Orders as	% of	Other Orders as %		
Total Non-Directed	Orders as % of A	All .	of Non-Directed	Total Marketable	Orders as % of I	Non-	Non-Directed		of Non-Directed	1	
Orders	Orders	Total Market Order	s Orders	Limit Orders	Directed Orders	Total Non-Marketable Limit Orders	Orders	Total Other Orders	Orders		
-	1	0.00	0	00	0	0.00	0	0.00	0	0.00	

Venue

												Net Payment		Net Payment		Net Payment		
											Net Payment	Paid/Received for	Net Payment	Paid/Received for	Net Payment	Paid/Received for		Net Payment
											Paid/Received for	Market	Paid/Received for	Marketable Limit	Paid/Received for	Non-Marketable	Net Payment	Paid/Received for
Venue - Non-	Non-Directed	Non-Directed			Marketable Limit		Non-Marketable	Non-Marketable			Market	Orders(cents per	Marketable Limit	Orders(cents per	Non-Marketable	Limit Orders(cents	Paid/Received for	Other Orders(cents
directed Order Floy	w Orders	Orders(%)	Market Orders	Market Orders(%)	Orders	Marketable Limit Orders(%)	Limit Orders	Limit Orders(%)	Other Orders	Other Orders(%)	Orders(USD)	hundred shares)	Orders(USD)	hundred shares)	Limit Orders(USD)	ner hundred shares	Other Orders(USD)	ner hundred shares)